

(Docket No. 11275/79290)

My residence, post office address and citizenship are as stated below next to my name.

Methods for Diagnosing and Treating Autoimmune Disease

(check one) [X] is attached hereto.
 [] was filed on _____ as
Application Serial No. _____
and was amended on _____.

(if applicable)

I acknowledge the duty to disclose information which is material to the patentability of the claims of this application in accordance with Title 37, Code of Federal Regulations, Sections 1.56(a) and 1.56(b).

PRIOR FOREIGN APPLICATION(S)		DATE FILED	PRIORITY CLAIMED	
Number	Country	Day/Mo./Year	[]	[]

<u>09/031,629</u>	<u>2/27/98</u>	<u>pending</u>
(App. Ser. No.)	(Filing Date)	(Patented/pending/abandoned)

As a named inventor, I hereby appoint the following:

Kathleen M. Williams, Reg. No. 34,380
John P. Iwanicki, Reg. No. 34,628

Peter D. McDermott, Reg. No. 29,411
Helen A. Greer, Reg. No. 36, 816

the mailing address and telephone number of each of whom is BANNER & WITCOFF, LTD., 28 State Street, 28th Floor, Boston, Massachusetts, 02109, and (617) 227-7111, with full power of substitution and revocation to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

I hereby declare that all statements made herein of my own knowledge is true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Denise L. Faustman

Inventor's signature: _____ Date _____
Residence: 74 Pinecroft Road, Weston, MA 02193
Citizenship: United States
Post Office Address: same 74 Pinecroft Road, Weston, MA 02193

Full name of second inventor: Takuma Hayashi

Inventor's signature: _____ Date _____
Residence: 157.5 5th Street, Cambridge, MA 02141
Citizenship: Japan
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: Faustman, Denise and Hayashi, Takuma
Serial No.: Not yet assigned
Filed: Concurrently Herewith
Entitled: Pathogenesis and Treatment of Autoimmunity-Transcription Defect
Attorney Docket No.: 11275/79290

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§1.9(f) AND 1.27(b)) - NONPROFIT ORGANIZATION**

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: The General Hospital Corporation
ADDRESS OF ORGANIZATION: Fruit Street, Boston, Massachusetts 02114

TYPE OF ORGANIZATION:

- ☐ University or other institution of higher education
☒ Tax Exempt Organization under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c)(3))
☐ Nonprofit Scientific or Educational Institution under laws of a State of the United States

NAME OF STATE:

CITATION OF STATE LAW:

- ☐ Organization that would qualify as a Tax Exempt Organization under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c)(3)), if located in the United States
☐ Organization that would qualify as a Nonprofit Scientific or Educational Institution under the laws of a State of the United States, if located in the United States

NAME OF STATE:

CITATION OF STATE LAW:

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. §1.9(e) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, with regard to the invention described in the patent or application identified above.

TITLE OF INVENTION

Pathogenesis and Treatment of Autoimmunity-Transcription Defect

by inventor(s): Faustman, Denise and Hayashi, Takuma
described in

☒ the specification filed herewith
☐ Application Serial No. _____, filed _____
☐ Patent No. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with said nonprofit organization with regard to the invention described in the patent or application identified above.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small entity under 37 C.F.R. §1.9(f) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

David J. Glass

NAME OF PERSON SIGNING

Associate Director of Patents, Office of Technology Affairs

TITLE IN ORGANIZATION

Fruit Street Boston, Massachusetts 02114

ADDRESS

SIGNATURE

DATE